

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Luke Ward  
Int'l Application No: PCT/GB2003/002377  
Int'l Filing Date: May 30, 2003  
Serial No:  
Filing Date:  
Group Art Unit: not yet assigned  
Title: APPLICATION OF A COATING FORMING MATERIAL  
ONTO AT LEAST ONE SUBSTRATE

**DESIGNATION OF DOMESTIC REPRESENTATIVE**

The Surface Innovations Limited, assignee of the patent application identified above,  
hereby appoints:

Sanford E. Warren, Jr.; Reg. No. 33,219  
Robert A. Voigt, Jr.; Reg. No. 47,159  
Kelly K. Kordzik; Reg. No. 36,571  
E.E. Richards, II; Reg. No. 53,514  
R. Scott Rhoades; Reg. No. 44,300  
Melissa D. Schwaller; Reg. No. 46,089

all of the firm of Winstead Sechrest & Minick, P.C., my attorneys with full power of substitution  
and revocation, to prosecute this registration, and to transact all business in the United States  
Patent and Trademark Office connected therewith, and as my Domestic Representative upon  
whom may be served notices or process in proceedings affecting this application, and to receive  
any and all papers, correspondence and notices relating thereto.

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Please direct all correspondence to:

Robert A. Voigt, Jr., Esq.  
WINSTEAD SECHREST & MINICK P.C.  
P.O. Box 50784  
Dallas, Texas 75201  
United States of America

and direct all telephone calls to Robert A. Voigt, Jr., Esq. at 512.370.2832 and all facsimile communications to (214) 745-5390. Please refer to Attorney Docket No. 42965-P051US.

SURFACE INNOVATIONS LIMITED

By: x J. Pal Badyal x  
Dated: 11th Jan 2005  
Printed: Jas Pal Badyal  
Title: Director

**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Luke Ward

Application No./Patent No.: \_\_\_\_\_ Filed/Issue Date: \_\_\_\_\_

Entitled: APPLICATION OF A COATING FORMING MATERIAL ONTO AT LEAST ONE SUBSTRATE

Surface Innovations Limited, a \_\_\_\_\_  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Luke Ward To: Surface Innovations Limited  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.  
[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Jas Pal Badyal 11th Jan 2005  
Signature Date

Jas Pal Badyal

Printed or Typed Name

Director

Title

Telephone Number

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**ASSIGNMENT**

**WHEREAS**, the undersigned inventor(s), hereinafter called the "Assignor", has invented a new and useful invention entitled:

**APPLICATION OF A COATING FORMING MATERIAL ONTO AT LEAST ONE  
SUBSTRATE**

\_\_\_\_\_ for which reference a full description is here made in an application for Letters Patent of the United States filed herewith.

\_\_\_\_\_ for which reference a full description is here made in an application for Letters Patent of the United States Serial No. \_\_\_\_\_ filed \_\_\_\_\_.

**WHEREAS, SURFACE INNOVATIONS LIMITED**, A \_\_\_\_\_  
having a principal office and place of business at Redgate House, Wolsingham, County Durham, DL13 3HH GREAT BRITAIN hereinafter called the "Assignee", is desirous of acquiring the entire right, title and interest in and to said invention, the application above identified, and in, to and under Letters Patent which may be obtained for said invention, as hereinafter more fully set forth;

**NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN**, be it known that for valuable and legally sufficient consideration, the receipt of which by the Assignor from the Assignee is hereby acknowledged, the Assignor has sold, assigned and transferred, and by these presents does sell, assign and transfer unto the Assignee, its successors and assigns, the entire right, title and interest in and to the invention and the application herein above identified, and all Letters Patents that may issue for the said invention, and all divisions, reissues, substitutions, continuations, and extensions thereof, to have and to hold for the sole and exclusive use and benefit of the Assignee, its successors and assigns to the full end of the term for which any and all of said Letters Patents for the said invention may issue.

**FURTHER**, be it known that the Assignor has sold, assigned and transferred, and by these presents does sell, assign and transfer unto the Assignee, its successors and assigns, the entire foreign rights to the invention disclosed in said application, in all countries of the world, including the right to file applications and obtain patents under the terms of the International Convention for the Protection of Industrial Property, and of the European Patent Convention, and further agrees to execute any and all patent applications, assignments, affidavits, and any other papers in connection therewith necessary to perfect such patent rights.

And the Assignor does hereby covenant and agree, for himself and his legal representatives, that they will assist the Assignee in the prosecution of the application herein identified; in the making and prosecution of any other applications for Letters Patent that the Assignee may elect to make covering the invention herein identified, as herein before set forth, including any application for reissue, application for reexamination, application for foreign patent rights, or any proceeding in the United States Patent and Trademark Office affecting the

invention, investing in the Assignee exclusive title in and to all such other applications and Letters Patent; and in the prosecution of any interference which may arise involving said invention, or any application or Letters Patents herein contemplated; that they will promptly execute and deliver to the Assignee any and all additional papers and make all lawful oaths which may be requested by the Assignee to fully carry out the terms of this assignment; and further that they will communicate to Assignee, or to its successors, assigns, and legal representatives, any facts known respecting said invention, and at the expense of the Assignee, testify in any legal proceedings, and generally do everything possible to aid the Assignee, its successors, assigns and nominees to obtain and enforce proper patent protection for said invention in all countries.

And the Commissioner of Patents and Trademarks is hereby authorized and requested to issue all Letters Patent to the Assignee in accordance with the terms of the assignment.

**IN TESTIMONY WHEREOF**, the Assignor has hereunto set his hands on the date indicated below.

LUKE WARD

Dated: x 10/1/05 x

x Luke Ward x

PTO/PTO

16 MAY 2005

PTO/SB/81 (09-04)

Approved for use through 11/30/2005. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# **POWER OF ATTORNEY and CORRESPONDENCE ADDRESS INDICATION FORM**

<b>Application Number</b>	
<b>Filing Date</b>	
<b>First Named Inventor</b>	Luke Ward
<b>Title</b>	Application of a Coating ... One Substrate
<b>Art Unit</b>	not yet assigned
<b>Examiner Name</b>	not yet assigned
<b>Attorney Docket Number</b>	42965-P051US

I hereby appoint:

☒ Practitioners associated with the Customer Number:

43167

OR

☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

☒ The address associated with the above-mentioned Customer Number:

OR

☐ The address associated with Customer Number:

☐ Firm or Individual Name Winstead Sechrest & Minick P.C.

Address P.O. Box 50784

City Dallas State Texas Zip 75201

Country US

Telephone Fax 214.745.5390

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

## **SIGNATURE of Applicant or Assignee of Record**

Signature	<i>Jas Pal Badyal</i>	Date	11th Jan 2005
Name	Jas Pal Badyal	Telephone	
Title and Company	Director, Surface Innovations Limited		

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☒ \*Total of 2 forms are submitted.

This collection of information is required by 37 CFR 1.31 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please recognize or change the correspondence address for the above-identified application to:

☒ The address associated with the above-mentioned Customer Number:

OR

☐ The address associated with Customer Number:

<input type="checkbox"/> Firm or Individual Name	Winstead Sechrest & Minick P.C.			
Address	P.O. Box 50784			
City	Dallas	State	Texas	Zip 75201
Country	US			
Telephone		Fax	214.745.5390	

I am the:

☒ Applicant/Inventor.

☐ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

## **SIGNATURE of Applicant or Assignee of Record**

Signature	<i>Luke Ward</i>	Date	10/1/05
Name	Luke Ward	Telephone	
Title and Company	Inventor		

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

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